



FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE PATENT &amp; TRADEMARK OFFICE

SHEET 1 OF 1  
(REV. 7-80)**LIST OF REFERENCES CITED BY APPLICANT**

(Use Several Sheets if Necessary)

DOCKET NO.: 3940/1K137US1 SERIAL NO: 10/057,155  
APPLICANT: SAFADI et al. FILING DATE: January 24, 2002

**U.S. PATENT DOCUMENTS**

| *EXAMINER<br>INITIALS | DOCUMENT<br>NUMBER | DATE     | NAME            | CLASS | SUBCLASS | FILING DATE |
|-----------------------|--------------------|----------|-----------------|-------|----------|-------------|
| KG                    | 1. 5,055,306       | 10/8/91  | Barr et al.     | 424   | 482      | 10/20/88    |
|                       | 2. 5,185,159       | 2/9/93   | Aubert et al.   | 424   | 489      | 2/12/91     |
|                       | 3. 5,212,326       | 5/18/93  | Meade           | 562   | 606      | 1/7/91      |
|                       | 4. 4,988,731       | 1/29/91  | Meade           | 514   | 557      | 11/9/87     |
|                       | 5. 4,301,176       | 11/17/81 | Grabowski       | 424   | 318      | 8/18/80     |
|                       | 6. 4,772,540       | 9/20/88  | Deutsch et al.  | 430   | 320      | 9/20/88     |
|                       | 7. 5,017,613       | 5/21/91  | Aubert et al.   | 514   | 557      | 5/21/91     |
|                       | 8. 4,913,906       | 4/3/90   | Friedman et al. | 424   | 499      | 4/3/90      |
|                       | 9. 5,589,191       | 12/31/96 | Ukigaya et al.  | 424   | 480      | 12/31/96    |
|                       | 10. 5,049,586      | 9/17/91  | Ortega et al.   | 514   | 557      | 5/2/90      |
| KG                    | 11. 5,707,663      | 1/13/98  | Ayer et al.     | 424   | 473      | 1/13/98     |

**FOREIGN PATENT DOCUMENTS**

| *EXAMINER<br>INITIALS | DOCUMENT<br>NUMBER | DATE    | COUNTRY | CLASS | SUBCLASS | TRANSLATION<br>YES NO |
|-----------------------|--------------------|---------|---------|-------|----------|-----------------------|
| KG                    | 12. 133110         | 13/2/85 | EPO     |       |          | X                     |

**OTHER REFERENCES****(INCLUDING AUTHOR, TITLE DATE, PERTINENT PAGES, ETC.)**\*EXAMINER  
INITIALS

KG 13. Hasegawa et al., "Inhibition of the Moisture Absorption of Sodium Valproate by Organic Acid," *Yakuzaigaku* 47(2): 86092 (1987), and English language abstract

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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



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SHEET 1 OF 1

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DOCKET NO.: 3940/1K137US1 SERIAL NO: 10/057,153  
APPLICANT: Mohammed S. SAFADI FILING DATE: January 24, 2002  
CONFIRMATION NO: 3092

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|-------------------------------------|----------------------------------|-------------|----------------|--------------|-----------------|--|
| KG                                  | 1. 0 571 973                     | 12/01/93    | Europe         | A61K         | 31/20           |  |
| KG                                  | 2. 0 442 012                     | 08/21/91    | Europe         | A61K         | 31/20           |  |

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**OTHER REFERENCES****(INCLUDING AUTHOR, TITLE DATE, PERTINENT PAGES, ETC.)**

| <u>*EXAMINER</u><br><u>INITIALS</u> |  |
|-------------------------------------|--|
| KG                                  | 3. A. Kibbe, "Calcium Phosphate, Dibasic Dihydrate," <i>Handbook of Pharmaceutical Excipients</i> , pp. 63-67. |
| KG                                  | 4. A. Kibbe, "Cellulose, Microcrystalline," <i>Pharmaceutical Excipients</i> , pp. 102-105.                    |
| KG                                  | 5. M. O'Neill, "Calcium Silicate," <i>The Merck Index-13th Edition</i> , p. 284 (2001).                        |

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3/5/03

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